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U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE

ATTORNEY'S DOCKET NUMBER

**TRANSMITTAL LETTER TO THE UNITED STATES
DESIGNATED/ELECTED OFFICE (DO/EO/US)
CONCERNING A FILING UNDER 35 U.S.C. 371**

032326-073

U.S. APPLICATION NO. (If known, use 35 U.S.C. 371(5))

Unassigned

09/601111

INTERNATIONAL APPLICATION NO.
PCT/FR99/00054INTERNATIONAL FILING DATE
14 January 1999PRIORITY DATE CLAIMED
27 January 1998

TITLE OF INVENTION

MICROPROCESSOR CARD INCLUDING A CABLE COMMUNICATION CIRCUIT

APPLICANT(S) FOR DO/EO/US

Pascal COOREMAN, Stéphane RAYON and Bertrand GOMEZ

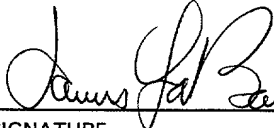
Applicant herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information:

1. ☒ This is a **FIRST** submission of items concerning a filing under 35 U.S.C. 371.
2. ☐ This is a **SECOND** or **SUBSEQUENT** submission of items concerning a filing under 35 U.S.C. 371.
3. ☒ This is an express request to begin national examination procedures (35 U.S.C. 371(f)) at any time rather than delay examination until the expiration of the applicable time limit set in 35 U.S.C. 371(b) and the PCT Articles 22 and 39(1).
4. ☒ A proper Demand for International Preliminary Examination was made by the 19th month from the earliest claimed priority date.
5. ☒ A copy of the International Application as filed (35 U.S.C. 371(c)(2))
 - a. ☐ is transmitted herewith (required only if not transmitted by the International Bureau).
 - b. ☒ has been transmitted by the International Bureau.
 - c. ☐ is not required, as the application was filed in the United States Receiving Office (RO/US)
6. ☒ A translation of the International Application into English (35 U.S.C. 371(c)(2)).
7. ☒ Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. 371(c)(3))
 - a. ☐ are transmitted herewith (required only if not transmitted by the International Bureau).
 - b. ☐ have been transmitted by the International Bureau.
 - c. ☐ have not been made; however, the time limit for making such amendments has NOT expired.
 - d. ☒ have not been made and will not be made.
8. ☐ A translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371(c)(3)).
9. ☒ An oath or declaration of the inventor(s) (35 U.S.C. 371(c)(4)).
10. ☒ A translation of the annexes to the International Preliminary Examination Report under PCT Article 36 (35 U.S.C. 371(c)(5)).

Items 11. to 16. below concern other document(s) or information included:

11. ☒ An Information Disclosure Statement under 37 CFR 1.97 and 1.98.
12. ☒ An assignment document for recording. A separate cover sheet in compliance with 37 CFR 3.28 and 3.31 is included.
13. ☒ A FIRST preliminary amendment.
☐ A SECOND or SUBSEQUENT preliminary amendment.
14. ☐ A substitute specification.
15. ☐ A change of power of attorney and/or address letter.
16. ☐ Other items or information:

(09/99)

| | | | | | |
|---|--------------|--|------------------|---|--------------|
| U.S. APPLICATION NO. (If known, see 37 C.F.R. 1.52) Unassigned | | INTERNATIONAL APPLICATION NO. PCT/FR99/00054 | | ATTORNEY'S DOCKET NUMBER 032326-073 | |
| 17. <input checked="" type="checkbox"/> The following fees are submitted: | | | | CALCULATIONS | PTO USE ONLY |
| Basic National Fee (37 CFR 1.492(a)(1)-(5)): Search Report has been prepared by the EPO or JPO \$840.00 (970) International preliminary examination fee paid to USPTO (37 CFR 1.482) \$670.00 (956) No international preliminary examination fee paid to USPTO (37 CFR 1.482) but international search fee paid to USPTO (37 CFR 1.445(a)(2)) \$690.00 (958) Neither international preliminary examination fee (37 CFR 1.482) nor international search fee (37 CFR 1.445(a)(2)) paid to USPTO \$970.00 (960) International preliminary examination fee paid to USPTO (37 CFR 1.482) and all claims satisfied provisions of PCT Article 33(2)-(4) \$96.00 (962) | | | | | |
| ENTER APPROPRIATE BASIC FEE AMOUNT = | | | | | |
| Surcharge of \$130.00 (154) for furnishing the oath or declaration later than months from the earliest claimed priority date (37 CFR 1.492(e)). 20 <input type="checkbox"/> 30 <input type="checkbox"/> | | | | \$ -0- | |
| Claims | Number Filed | Number Extra | Rate | | |
| Total Claims | 5 -20 = | -0- | X\$18.00 (966) | \$ -0- | |
| Independent Claims | 1 -3 = | -0- | X\$78.00 (964) | \$ -0- | |
| Multiple dependent claim(s) (if applicable) | | | + \$260.00 (968) | \$ -0- | |
| TOTAL OF ABOVE CALCULATIONS = | | | | \$ 840.00 | |
| Reduction for 1/2 for filing by small entity, if applicable. Verified Small Entity statement must also be filed. (Note 37 CFR 1.9, 1.27, 1.28). | | | | \$ -0- | |
| SUBTOTAL = | | | | \$ 840.00 | |
| Processing fee of \$130.00 (156) for furnishing the English translation later than months from the earliest claimed priority date (37 CFR 1.492(f)). 20 <input type="checkbox"/> 30 <input type="checkbox"/> | | | | \$ -0- | |
| TOTAL NATIONAL FEE = | | | | \$ 840.00 | |
| Fee for recording the enclosed assignment (37 CFR 1.21(h)). The assignment must be accompanied by an appropriate cover sheet (37 CFR 3.28, 3.31). \$40.00 (581) per property + | | | | \$ 40.00 | |
| TOTAL FEES ENCLOSED = | | | | \$ 880.00 | |
| | | | | Amount to be: refunded | \$ |
| | | | | charged | \$ |
| a. <input checked="" type="checkbox"/> A check in the amount of \$ <u>880.00</u> to cover the above fees is enclosed. b. <input type="checkbox"/> Please charge my Deposit Account No. <u>02-4800</u> in the amount of \$ _____ to cover the above fees. A duplicate copy of this sheet is enclosed. c. <input checked="" type="checkbox"/> The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment to Deposit Account No. <u>02-4800</u> . A duplicate copy of this sheet is enclosed. | | | | | |
| NOTE: Where an appropriate time limit under 37 CFR 1.494 or 1.495 has not been met, a petition to revive (37 CFR 1.137(a) or (b)) must be filed and granted to restore the application to pending status. | | | | | |
| SEND ALL CORRESPONDENCE TO: <div style="display: flex; justify-content: space-between;"> <div style="width: 45%;"> James A. LaBarre BURNS, DOANE, SWECKER & MATHIS, L.L.P. P.O. Box 1404 Alexandria, Virginia 22313-1404 </div> <div style="width: 45%; text-align: center;">  SIGNATURE <u>James A. LaBarre</u> NAME <u>28,632</u> REGISTRATION NUMBER </div> </div> | | | | | |

Patent
Attorney's Docket No. 032326-073

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

| | | |
|-----------------------------|---|----------------------------|
| In re Patent Application of |) | |
| |) | |
| Pascal COOREMAN et al |) | Group Art Unit: Unassigned |
| |) | |
| Application No.: Unassigned |) | Examiner: Unassigned |
| |) | |
| Filed: July 27, 2000 |) | |
| |) | |
| For: MICROPROCESSOR CARD |) | |
| INCLUDING A CABLE |) | |
| COMMUNICATION CIRCUIT |) | |

PRELIMINARY AMENDMENT

Assistant Commissioner for Patents
Washington, D.C. 20231

Sir:

Prior to examination and the calculation of filing fees, kindly amend the above-identified application as follows:

IN THE SPECIFICATION:

Page 1, immediately following the title, insert the following:

--This disclosure is based upon, and claims priority from French Patent Application No. 98/00858, filed January 27, 1998, and International Application No. PCT/FR99/00054, filed January 14, 1999, the contents of which are incorporated herein by reference.

Background of the Invention--.

Page 2, line 6, change "20" to --12--;

between lines 16 and 17, insert the heading:

--**Summary of the Invention**--.

Page 4, before line 1, insert the heading:

--**Brief Description of the Drawing**--;

between lines 7 and 8, insert the heading:

--**Detailed Description**--.

Page 10, delete numbered lines 21-23.

IN THE CLAIMS:

1. (Amended) A card [(30)] with a microprocessor [(10)] and contacts [(22)], [the microprocessor (10) communicating with a terminal (20) by means of] and a communication device [(40)] in the form of a hard-wired circuit disposed between the contacts [(22)] and the microprocessor [(10)] and operating according to an asynchronous communication protocol with checking of the integrity of [the] signals transmitted between the microprocessor and a terminal, [characterised in that] wherein said communication device [(40) comprises] includes means to return at least one item of information to the terminal [(20)] as a function of the signals received.

2. (Amended) A card with a microprocessor and contacts according to Claim 1, [characterised in that] wherein the communication device [(40)] comprises:

- a circuit [(34)] for analysing the electrical signals transmitted by [the] a terminal [(20)] so as to supply a series of electrical pulses,

- a circuit [(36)] for checking the series of electrical pulses in order to determine the integrity of the series of electrical pulses and to supply a code [(50)] indicating the status of the check,

- a circuit [(38)] for determining each character from the pulses in the series,

- a first plurality of registers [(42)] for recording the characters of [the] a command and [the] an address supplied by the character determination circuit [(38)] and making them available to the microprocessor [(10)],

- a second plurality of registers [(44)] for recording the characters of [the] data supplied by the character determination circuit [(38)] and making them available to the microprocessor [(10)],

- a circuit for acknowledging the command [(52)], associated with the first plurality of registers [(42)], for analysing the characters of the command and supplying a code [(54)] indicating [the] a command reception status,

- a third plurality of registers [(46)] for recording [the] codes for the data and for the status of execution of the command supplied by the microprocessor [(10)], and

- a circuit [(48)] for transmitting to the terminal [(20)] the codes supplied by the checking circuit [(36)], the command acknowledgement circuit [(52)] and the third plurality of registers [(46)].

3. (Amended) A card with microprocessor and contacts according to Claim 2, [characterised in that] wherein the analysis circuit [(34)] comprises means to detect] detects the signals transmitted and [to present] presents them in the form of a series of electrical pulses of the binary type.

4. (Amended) A card with a microprocessor and contacts according to Claim 2 [or 3, characterised in that] wherein the checking circuit [(36) comprises means to check] checks for the presence [or not] of a binary parity digit or a cyclic redundancy code and [to supply] supplies a corresponding signal or code.

Add the following new claim:


--5. A card with a microprocessor and contacts card according to Claim 3, wherein the checking circuit checks for the presence of a binary parity digit or a cyclic redundancy code and supplies a corresponding signal or code.--

REMARKS

Entry of the foregoing amendments is respectfully requested. These amendments are intended to further clarify the language of the claims and specification. It is to be noted that the amendments have been made with respect to the claims as they appear in the annex to the International Preliminary Examination Report.

Respectfully submitted,

BURNS, DOANE, SWECKER & MATHIS, L.L.P.

By: 
James A. LaBarre
Registration No. 28,632

P.O. Box 1404
Alexandria, Virginia 22313-1404
(703) 836-6620

Date: July 27, 2000

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1/PRTS

MICROPROCESSOR CARD INCLUDING A CABLE COMMUNICATION
CIRCUIT

5 The invention relates to microprocessor cards
which are capable of performing operations on data
supplied by memories associated with the microprocessor
or by a terminal to which they are connected.

10 In a simplified manner, a microprocessor 10 (see
single figure) comprises a central unit 12 which
communicates with a program memory 16, a data memory 18
and a memory 14 of the RAM type, RAM being the English
acronym for "Random Access Memory". This
microprocessor 10 is connected to a terminal 20 by
means of a link 32 and a contact pin 22.

15 The electrical signals applied by the terminal 20
to the contact pin 22 are analysed by the
microprocessor 10 by means of a special so-called
communication program recorded in the program memory
16, this communication program being adapted to the

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communication protocol which controls the exchanges of information between the card and the terminal in both directions.

5 Analysis of the electrical signals applied to the contact pin 22 constitutes a relatively lengthy task for the central unit 20, a task which mobilises a large part of the memories.

10 In a similar manner, the output of the information from the microprocessor 10 to the terminal 20 by means of the contact pin 22 also takes up the time of the central unit and space in the memories.

15 The aim of the present invention is therefore to produce a microprocessor card which does not have the aforementioned drawbacks so as to release time for the microprocessor for other tasks and to release memory capacity for these other tasks.

20 The invention lies in the fact that the communications between the terminal and the microprocessor card take place by means of a communication device, the said device being in the form of a hard-wired circuit.

25 The invention has the advantage of facilitating the development of a card and in particular reducing the qualification period and costs for it, the communication device, in the form of an independent part, being able to be qualified once and for all.

 The invention relates to a microprocessor card with contacts, characterised in that the microprocessor communicates with the terminal by means of an

asynchronous communication device, the said communication device comprising:

5 - a circuit for analysing the electrical signals transmitted by the terminal so as to supply a series of electrical pulses,

 - a circuit for checking the series of electrical pulses in order to determine the integrity of the series of electrical pulses and to supply a code indicating the status of the check,

10 - a circuit for determining each character from the pulses in the series,

 - a first plurality of registers for recording the characters of the command and the address supplied by the character determination circuit and making them
15 available to the microprocessor,

 - a second plurality of registers for recording the characters of the data supplied by the character determination circuit and making them available to the microprocessor,

20 - a circuit for acknowledging the command, associated with the first plurality of registers, for analysing the characters of the command and supplying a code indicating the command reception status,

 - a third plurality of registers for recording
25 the codes for the data and for the status of execution of the command supplied by the microprocessor, and

 - a circuit for transmitting to the terminal the codes supplied by the checking circuit, the command acknowledgement circuit and the third plurality of
30 registers.

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The invention will be understood more clearly by means of the following description of a particular example embodiment, the said description being given in relation to the accompanying drawing in which the single figure is a functional diagram of a microprocessor card having characteristics of the invention.

As indicated in the introduction, a microprocessor card 30 of the prior art comprises essentially a microprocessor 10 connected to a terminal 20 by means of a bidirectional link 32, depicted in dotted lines, and a contact connector 22. The binary electrical signals applied by the terminal 20 to the contacts 22 are analysed directly by the microprocessor 10. In addition, the binary electrical signals supplied by the microprocessor 10 are transmitted to the terminal 20 by means of the connection 32 and contacts 22.

In such an architecture, the microprocessor 10 acts directly in the bidirectional communication process, which presents certain drawbacks, notably those disclosed in the introduction.

According to the invention, the bidirectional communication process is implemented by a communication device 40, disposed between the contact terminals 22 and the microprocessor 10.

The communication device 40 comprises:

- a circuit 34 for analysing the electrical signals applied by the terminal 20 to the contact terminal 22 of the card 30; this circuit 34 analyses

- a circuit 36 for checking the series of binary electrical pulses in order to determine the integrity of the series of electrical pulses, that is to say to check whether the series is complete in accordance with predetermined rules, for example by the use of a binary parity digit or a redundant code in the series; this checking circuit 36 supplies a binary signal or a binary code indicating the result of this check on a link 50;

- a first plurality of registers 42 for recording on the one hand the characters of the command or instruction and on the other hand the characters of the address, as they are determined by the determination circuit 38;

25 - a circuit 52 for acknowledging the command associated with the first plurality of registers 42 in order to analyse the characters of the command or instruction and to supply a signal or binary code indicating the terminal or the faulty reception of the command on a link 54,

- a third plurality of registers 46 for recording on the one hand the data supplied by the microprocessor 10 and on the other hand the status code indicating the statuses of execution of the command by the microprocessor 10, and

- a circuit 48 for transmitting, to the terminal 10 by means of the contacts 22, the signals and/or codes supplied by the checking circuit 36 on the link 50, by the acknowledgement circuit 52 on a link 54 and by the third plurality of registers 46 on a link 56.

The different circuits making up the communication device 40 are adapted to the communication protocol chosen. This communication protocol is of the asynchronous type and can be the one known by the name of RS232, with regard to a serial link habitually used between a so-called personal computer and its peripherals, or by the names V22, V23, etc with regard to connection by modem.

In order to check the integrity of the series of pulses, the terminal 20 must be designed to add redundant information to the signals transmitted, information whose presence the checking circuit 36 is capable of checking. It may be a case of the presence of a parity bit or binary digit or a redundant cyclic code. It should be noted that many communication protocols make provision for such a redundancy in order to check the integrity of the information transmitted. Where this check is not successful, the command is not executed and this decision is indicated by a code on the link 50.

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10
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[Translation of the Annex to the International
Preliminary Examination Report]

CLAIMS

5 1. A card (30) with a microprocessor (10) and
contacts (22), the microprocessor (10) communicating
with a terminal (20) by means of a communication
device (40) in the form of a hard-wired circuit
disposed between the contacts (22) and the
10 microprocessor (10) and operating according to an
asynchronous communication protocol with checking of
the integrity of the signals transmitted,
characterised in that said communication device (40)
comprises means to return at least one information to
the terminal (20) as a function of the signals
15 received.

2. A card with a microprocessor and contacts
according to Claim 1, characterised in that the
communication device (40) comprises:

20 - a circuit (34) for analysing the electrical
signals transmitted by the terminal (20) so as to
supply a series of electrical pulses,

- a circuit (36) for checking the series of
electrical pulses in order to determine the integrity
of the series of electrical pulses and to supply a
25 code (50) indicating the result of the check,

- a circuit (38) for determining each character
from the pulses in the series,

- a first plurality of registers (42) for
recording the characters of the command and the
30 address supplied by the character determination

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circuit (38) and making them available to the microprocessor (10),

5 - a second plurality of registers (44) for recording the characters of the data supplied by the character determination circuit (38) and making them available to the microprocessor (10),

10 - a circuit for acknowledging the command (52), associated with the first plurality of registers (42), for analysing the characters of the command and supplying a code (54) indicating the command reception status,

15 - a third plurality of registers (46) for recording the codes for the data and for the status of execution of the command supplied by the microprocessor (10), and

20 - a circuit (48) for transmitting to the terminal (20) the codes supplied by the checking circuit (36), the command acknowledgement circuit (52) and the third plurality of registers (46).

25 3. A card with a microprocessor and contacts according to Claim 2, characterised in that the analysis circuit (34) comprises means to detect the signals transmitted and to present them in the form of a series of electrical pulses of the binary type.

30 4. A card with a microprocessor and contacts according to Claim 2 or 3, characterised in that the checking circuit (36) comprises means to check the presence or not of a binary parity digit or a cyclic redundancy code and to supply a corresponding signal or code.

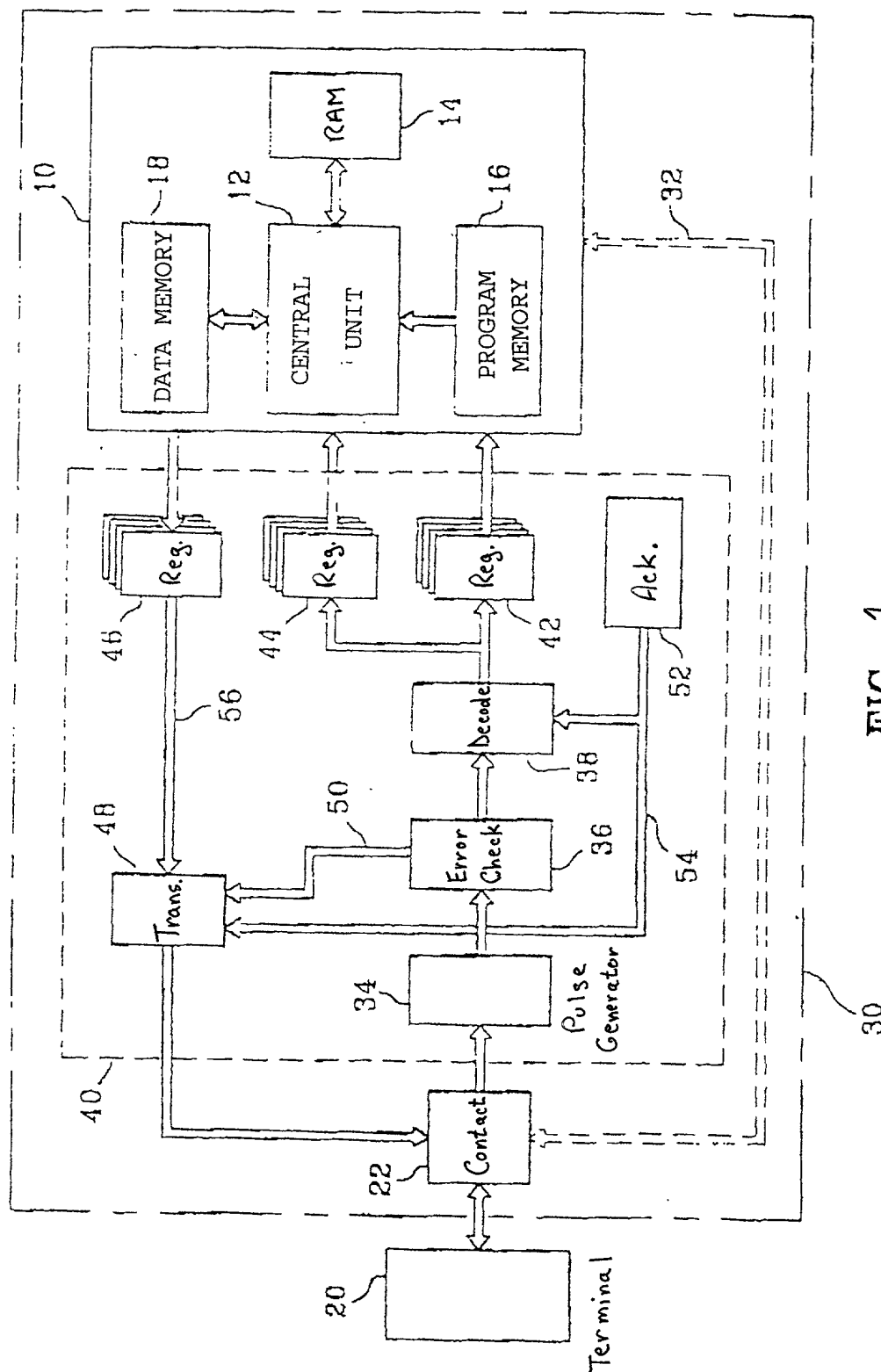
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A MICROPROCESSOR CARD INCLUDING A HARD-WIRED
COMMUNICATION CIRCUIT

The invention relates to cards with a microprocessor (10) and contacts (22).

The invention is applicable to microprocessor smart cards.

25



gene sh

COMBINED DECLARATION FOR PATENT APPLICATION AND POWER OF ATTORNEY
(Includes Reference to Provisional and PCT International Applications)

Attorney's Docket No.

As a below named inventor, I hereby declare that:
 My residence, post office address and citizenship are as stated below next to my name;
 I believe I am the original, first and sole inventor (if only one name is listed below) or an original, first and joint inventor
 (if plural names are listed below) of the subject matter which is claimed and for which a patent is sought on the invention
 entitled:

MICROPROCESSOR CARD INCLUDING A CABLE
COMMUNICATION CIRCUIT

the specification of which (check only one item below):

- is attached hereto.
- was filed as United States application
 Number _____
 on _____
 and was amended _____ (if applicable).
- was filed as PCT international application
 Number PCT/FR 99/0056
 on 14.01.99.
 and was amended _____ (if applicable)

I hereby state that I have reviewed and understand the content of the above-identified specification, including the claims, as amended by any amendment referred to above.

I acknowledge the duty to disclose to the Office all information known to me to be material to patentability as defined in Title 37, Code of Federal Regulations, § 1.56.

I hereby claim foreign priority benefits under Title 35, United States Code, § 119(a)-(e) of any foreign application(s) for patent or inventor's certificate or of any PCT international application(s) designating at least one country other than the United States of America listed below and have also identified below any foreign application(s) for patent or inventor's certificate or any PCT international application(s) designating at least one country other than the United States of America filed by me on the same subject matter having a filing date before that of the application(s) of which priority is claimed:

PRIOR FOREIGN/PCT APPLICATION(S) AND ANY PRIORITY CLAIMS UNDER 35 U.S.C. § 119:

| COUNTRY (if PCT, indicate "PCT") | APPLICATION NUMBER | DATE OF FILING (day, month, year) | PRIORITY CLAIMED UNDER 35 U.S.C. § 119 |
|-------------------------------------|--------------------|--------------------------------------|---|
| <u>PCT</u> | <u>WO 99/38116</u> | <u>29.07.99.</u> | <u>Yes</u> <u>No</u> |
| <u>FRANCE</u> | <u>98 00858</u> | <u>27.01.1998</u> | <u>Yes</u> <u>No</u> |
| | | | <u>Yes</u> <u>No</u> |
| | | | <u>Yes</u> <u>No</u> |
| | | | <u>Yes</u> <u>No</u> |

I hereby claim the benefit under Title 35, United States Code § 119(e) of any United States provisional application(s) listed below.

 (Application Number)


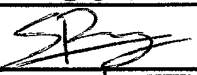

 (Filing Date)

 (Application Number)

 (Filing Date)

(7/00)

| | |
|---|-----------------------|
| COMBINED DECLARATION FOR PATENT APPLICATION AND POWER OF ATTORNEY (CONT'D) (Includes Reference to Provisional and PCT International Applications) | Attorney's Docket No. |
|---|-----------------------|

| | | |
|---|---|-------------------------|
| FULL NAME OF SOLE OR FIRST INVENTOR PASCHAL COOREMAN | SIGNATURE  | DATE 29/6/00 |
| RESIDENCE Les Jardins de l'Enfant | CITIZENSHIP FRANCE | |
| POST OFFICE ADDRESS Les Jardins de l'Enfant 23, av Beau Riv 13008 Marseille | | |
| FULL NAME OF SECOND JOINT INVENTOR, IF ANY STEPHANE RAYON | SIGNATURE  | DATE 04/07/00 |
| RESIDENCE Les Hauts de Fievelles chemin de la Garde | CITIZENSHIP FRANCE | |
| POST OFFICE ADDRESS Les Hauts de Fievelles chemin de la Garde 13600 La Ciotat | | |
| FULL NAME OF THIRD JOINT INVENTOR, IF ANY BENJAMIN GONZ | SIGNATURE  | DATE 04-7-00 |
| RESIDENCE 713, chemin des Barapues | CITIZENSHIP FRANCE | |
| POST OFFICE ADDRESS 713, chemin des Barapues - 13360 NOUVEJANE | | |
| FULL NAME OF FOURTH JOINT INVENTOR, IF ANY | SIGNATURE | DATE |
| RESIDENCE | CITIZENSHIP | |
| POST OFFICE ADDRESS | | |
| FULL NAME OF FIFTH JOINT INVENTOR, IF ANY | SIGNATURE | DATE |
| RESIDENCE | CITIZENSHIP | |
| POST OFFICE ADDRESS | | |
| FULL NAME OF SIXTH JOINT INVENTOR, IF ANY | SIGNATURE | DATE |
| RESIDENCE | CITIZENSHIP | |
| POST OFFICE ADDRESS | | |
| FULL NAME OF SEVENTH JOINT INVENTOR, IF ANY | SIGNATURE | DATE |
| RESIDENCE | CITIZENSHIP | |
| POST OFFICE ADDRESS | | |
| FULL NAME OF EIGHTH JOINT INVENTOR, IF ANY | SIGNATURE | DATE |
| RESIDENCE | CITIZENSHIP | |
| POST OFFICE ADDRESS | | |

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